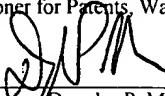


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

Applicant: NAKATA et al. Examiner: Unknown
 Serial No.: 09/506,347 Group Art Unit: Unknown
 Filed: February 17, 2000 Docket: 10873.498US01
 Notice of Allow. Date: N/A Batch No.: N/A
 Due Date: July 1, 2000
 Title: OPTICAL HEAD AND METHOD OF MANUFACTURING THE SAME

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on May 22, 2000.

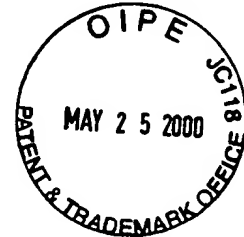
By: 
 Douglas P. Mueller

BOX MISSING PARTS
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

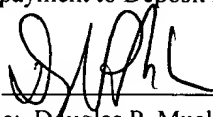
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Information Disclosure Statement, Form 1449, 4 Reference(s)
- ☒ Check(s) in the amount of \$690.00, for Basic Filing Fee; \$130.00 for Missing Parts Surcharge; \$130.00 to file English Translation of application; \$126.00 for 7 total claims over 20.
- ☒ Other: Copy of Notice to File Missing Parts; Communication Re: Submission of Missing Parts; Communication Re: Change of Correspondence Address; Verified English Translation of U.S. Patent Application Serial No. 09/506,347
- ☒ Return postcard



Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
 P.O. Box 2903, Minneapolis, MN 55402-0903
 (612) 332-5300

By: 
 Name: Douglas P. Mueller
 Reg. No.: 30,300
 DPM/baw

08/14/2000 VOWENS 00000001 132725 09506347

01 FC:102 390.00 CH

S/N 09/506347



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	NAKATA et al.	Examiner:	Unknown
Serial No.:	09/506347	Group Art Unit:	Unknown
Filed:	02/17/2000	Docket No.:	10873.498US01
Title:	<u>OPTICAL HEAD AND METHOD OF MANUFACTURING THE SAME</u>		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on May 22, 2000.

By: 

Douglas P. Mueller

SUBMISSION OF MISSING PARTS

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Combined Declaration and Power of Attorney and English translation of the application. Also enclosed is our check in the amount of \$130.00 to cover the Missing Parts completion fee, as well as \$690.00 for the filing fee which was deferred at filing. Also, please find checks in the amount of \$130.00 for filing English translation of application and \$126.00 for 7 total claims over 20.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

By: 

Douglas P. Mueller
Reg. No. 30,300

Dated: May 22, 2000

DPM/baw

DPM #3

FORMALITIES LETTER



OC000000005087250

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/506,347	02/17/2000	Hideki Nakata	10873.498UAS01 VS 01

Douglas P. Mueller
Merchant & Gould PC
3100 Norwest Center
90 South Seventh Street
Minneapolis, MN 55402-4131

DI mparts 2 July 1, 2000 M
mparts STAT Nov. 1, 2000 M

Date Mailed: 05/01/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$126.
■ \$126 for 7 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 1076.

A copy of this notice **MUST** be returned with the reply.

UnBlack

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

05/30/2000 GTEFFERA 00000026 09506347

01 FC:101	690.00 OP
02 FC:103	125.00 OP
03 FC:105	130.00 OP
04 FC:139	130.00 OP
05 FC:199	4.00 OP